Neural-Pragmatic Natural **J**<u>a</u><u>a</u> Generation |

Learning goals

1. become familiar with **language modeling** a. causal (left-to-right) models b.training, prediction, evaluation

- 2. meet a first neural LM: recurrent neural networks
- 3. implementing a character-level RNN a. loss function & training regime b.predictions & decoding strategy





language modeling

Language model high-level definition

- ► let 𝒴 be a (finite) **vocabulary**, a set of words
 - we say "words" but these can be characters, sub-words, units ...
- let $w_{1:n} = \langle w_1, ..., w_n \rangle$ be a finite sequence of words
- Iet S be a the set of all (finite) sequences of words
- let X be a set of input conditions
 - e.g., images, text in a different language ...
- a **language model** *LM* is function that assigns to each input *X* a probability distribution over *S*:

 $LM : X \mapsto \Delta(S)$

- if there is only one input in set *X*, the LM is just a probability distribution over all sequences of words
- an LM is meant to capture the true relative frequency of occurrence
- a neural language model is an LM realized as a neural network
- ${\ }$ ${\ }$ in the following we skip the dependence on X
- ency of occurrence ural network

Language model left-to-right / causal model

- a causal language model is defined as a function that maps an initial sequence of words to a probability distribution over words: $LM : w_{1:n} \mapsto \Delta(\mathscr{V})$
 - we write $P_{LM}(w_{n+1} \mid w_{1:n})$ for the **next-word probability**
 - the surprisal of w_{n+1} after sequence $w_{1:n}$ is $-\log(P_{LM}(w_{n+1} | w_{1 \cdot n}))$
- the **sequence probability** follows from the chain rule:

$$P_{LM}(w_{1:n}) = \prod_{i=1}^{n} P_{LM}(w_i \mid w_{1:i-1})$$

- measures of goodness of fit for observed sequence $w_{1:n}$:
 - perplexity:

$$PP_{LM}(w_{1:n}) = P_{LM}(w_{1:n})^{-\frac{1}{n}}$$

• average surprisal:

Avg-Surprisal_{LM} $(w_{1:n}) = -\frac{1}{n} \log P_{LM}(w_{1:n})$

 $\log PP_M(w_{1.n}) =$ Avg-Surprisal_M($w_{1:n}$)





recurrent neural networks

Recurrent neural networks



RNN-based language model one of many similar architectures

- dimensions:
 - n_V : # of words in vocabulary
 - n_h : # units in hidden layer
 - n_x : length of input **x** (word embedding)
- what is what?
 - $\mathbf{w}_t \in \mathbb{R}^{n_V}$: one-hot vector representing word \mathbf{w}_t
 - $\mathbf{x}_t \in \mathbb{R}^{n_x}$: word embedding of word \mathbf{w}_t
 - $\mathbf{h}_t \in \mathbb{R}^{n_h}$: hidden layer activation at time *t* (with $\mathbf{h}_0 = 0$)
 - $\mathbf{y}_t \in \Delta(\mathcal{V})$: probability distribution over words
 - $f \in \{\sigma, tanh, ...\}$: activation function (as usual)
 - $\mathbf{U} \in \mathbb{R}^{n_h \times n_h}$: mapping hidden-to-hidden
 - $\mathbf{V} \in \mathbb{R}^{n_V \times n_h}$: mapping hidden-to-word
 - $\mathbf{E} \in \mathbb{R}^{n_x \times n_V}$: mapping word-to-embedding
 - $\mathbf{W} \in \mathbb{R}^{n_h \times n_x}$: mapping embedding-to-hidden

- definition (forward pass):
 - $\mathbf{x}_t = \mathbf{E}\mathbf{w}_t$
 - $\mathbf{h}_t = f \left[\mathbf{U} \mathbf{h}_t + \mathbf{W} \mathbf{x}_t \right]$
 - $\mathbf{y}_t = \text{softmax}(\mathbf{V}\mathbf{h}_t)$



based on Jurafsky & Martin "NLP" book draft



training & inference for causal LMs

Training RNNs using teacher forcing & next-word surprisal

- teacher forcing
 - predict each next word given the preceding input (not the modelgenerated sequence)
- next-work surprisal
 - loss function is (average) next-word surprisal
 - NB: surprisal = cross-entropy if training item is non-stochastic

Next word	
Loss	-
Softmax ov Vocabular	er y

Input Embeddings



Autoregressive generation left-to-right / causal model



Plain causal LMs in a nutshel

definition

- sequence probabilities given by product of next-word probabilities
- training
 - minimize next-word surprisal
- prediction
 - sample auto regressively, using next-word probabilities
- evaluation
 - perplexity or average surprisal
- consistent def-train-pred-eval scheme

Dirty reality

definition

- usually only implicit, often unclear
- task-dependent
- training
 - usually based on next-word surprisal
 - other (mixed) **training regimes** exist
- prediction
 - whole battery of **decoding strategies**
- evaluation
 - baseline: perplexity or average surprisal
 - additional measure of text quality
- possibly inconsistent

Custom RNN

```
class RNN(nn.Module):
def __init__(self, input_size, hidden_size, output_size):
    super(RNN, self).__init__()
    self.hidden_size = hidden_size
    self.i2h = nn.Linear(n_categories + input_size + hidden_size,
                         hidden_size)
    self.i2o = nn.Linear(n_categories + input_size + hidden_size,
                         output_size)
    self.o2o = nn.Linear(hidden_size + output_size,
                         output_size)
    self.dropout = nn.Dropout(0.1)
    self.softmax = nn.LogSoftmax(dim=1)
def forward(self, category, input, hidden):
    input_combined = torch.cat((category, input, hidden), 1)
    hidden = self.i2h(input_combined)
    output = self.i2o(input_combined)
    output_combined = torch.cat((hidden, output), 1)
    output = self.o2o(output_combined)
    output = self.dropout(output)
    output = self.softmax(output)
    return output, hidden
def initHidden(self):
   return torch.zeros(1, self.hidden_size)
```

